

Compartmentalization Effects in Multifamily Buildings

Iain Walker
Lawrence Berkeley Labs - Residential Building Systems Group

April 10, 2024

Background

- Dwelling unit compartmentalization aims to reduce inter-unit air and contaminant transport as well as envelope leakage for energy purposes
- Some codes/standards, including ASHRAE 62.2, have performance-based compartmentalization targets for multi-family dwellings

Key Questions

1. Is the current compartmentalization requirement in ASHRAE 62.2 adequate for controlling cross-contamination in multi-family buildings?
2. Are different ventilation system types more or less sensitive to compartmentalization?

Building Typology and Climate

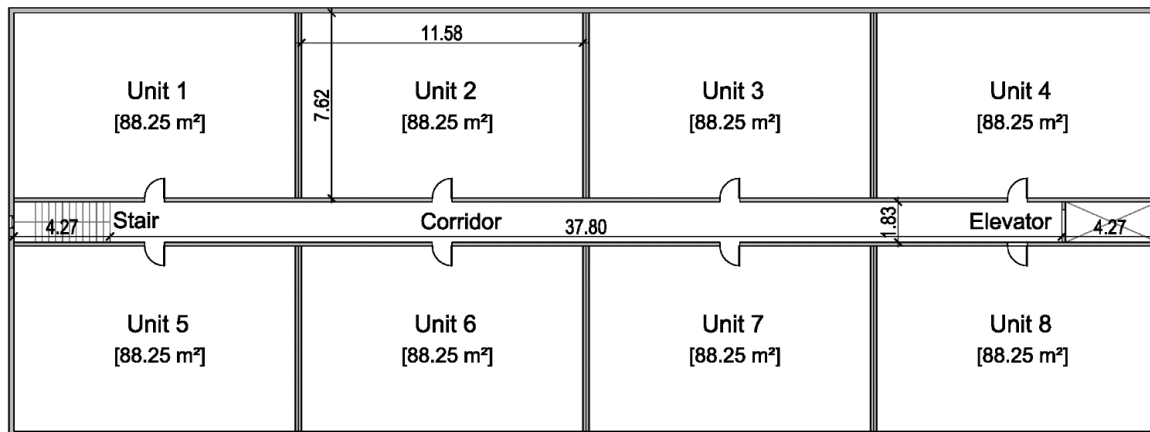
Building Types

- Low-rise: 4 stories
- High-rise: 20 stories

Climate Zones

- 2A Hot Humid
- 2B Hot Dry
- 3C Warm Marine
- 4A Mixed Humid
- 7 Very Cold

Each unit about 900 sq. ft.



Ventilation System Design

1. Unit-Level Balanced Heat Recovery Ventilators
2. Unit Exhaust with Corridor Supply (Pressurized Corridor)
3. Unit Supply
4. Unit Exhaust with Trickle Vents
5. No Ventilation

Air Flows meet ASHRAE 62.2 minimum requirements

Corridors

- Ventilated to meet ASHRAE 62.1

Local Exhaust Fans

- Sized to meet ASHRAE 62.2
- Kitchen, bath, laundry exhaust fans operated on fixed schedules

Time		Activities	Kitchen Fan L/s (cfm)	Bathroom Fan L/s (cfm)	Laundry Fan L/s (cfm)
Start	End				
7:00	7:30	Showering	0	25 (53)	0
7:30	8:00	Cooking and Showering	50 (106)	25 (53)	0
11:45	12:15	Cooking	50 (106)	0	0
18:00	18:30	Cooking	50 (106)	0	0

Dwelling Unit Air Leakage

Areas are all 6 sides
Blower Door pressurization to 50 Pa
“Unguarded”

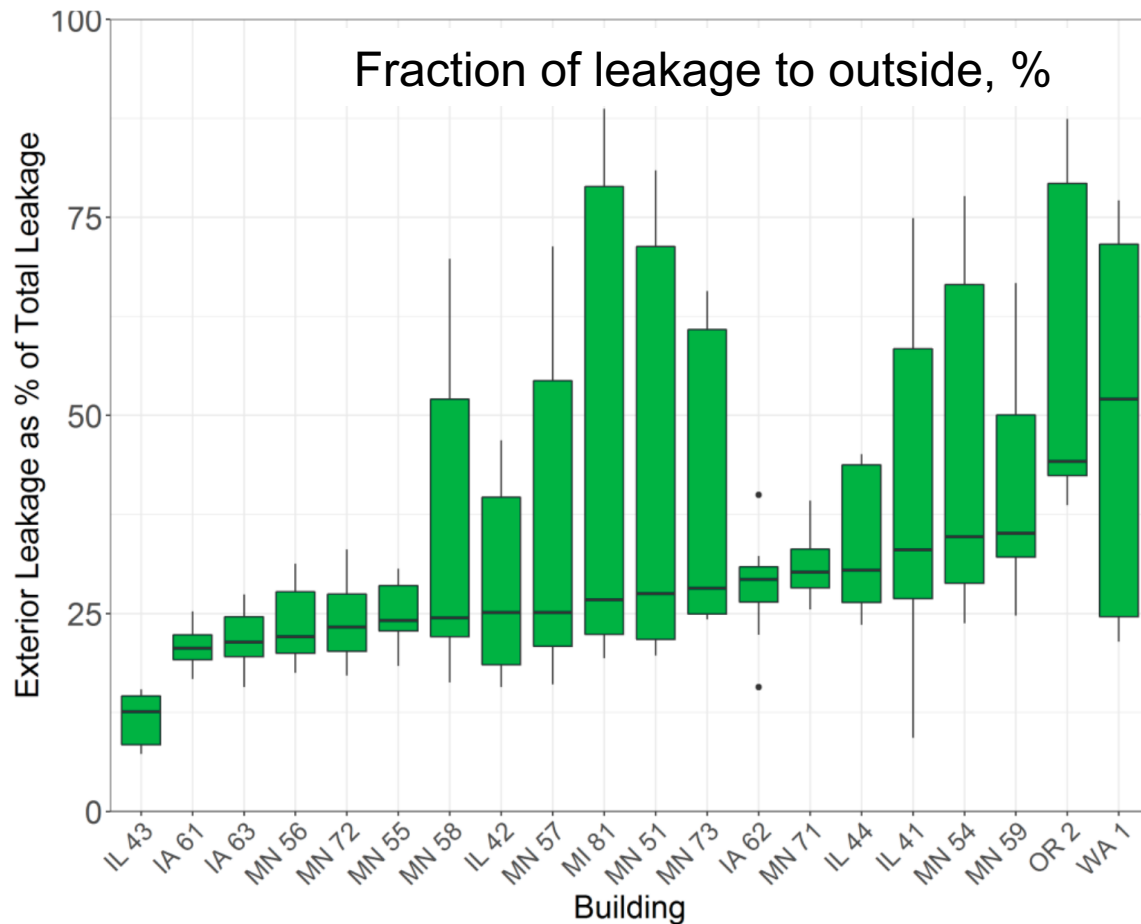
Leakage Class	Leakage (L/s/m ² at 50Pa)	Leakage (cfm ₅₀ /ft ²)
Typical	5.1	1.0
Current Practice	1.5	0.30
Moderate	1.0	0.20
Tight	0.50	0.10
Super Tight	0.25	0.05

ASHRAE 62.2 - 2019 *optional*
Compartmentalization
Requirement and EPA
ENERGY STAR

ASHRAE 62.2 - 2022
Compartmentalization
Requirement

Leakage Distributions: Indoors and outdoors

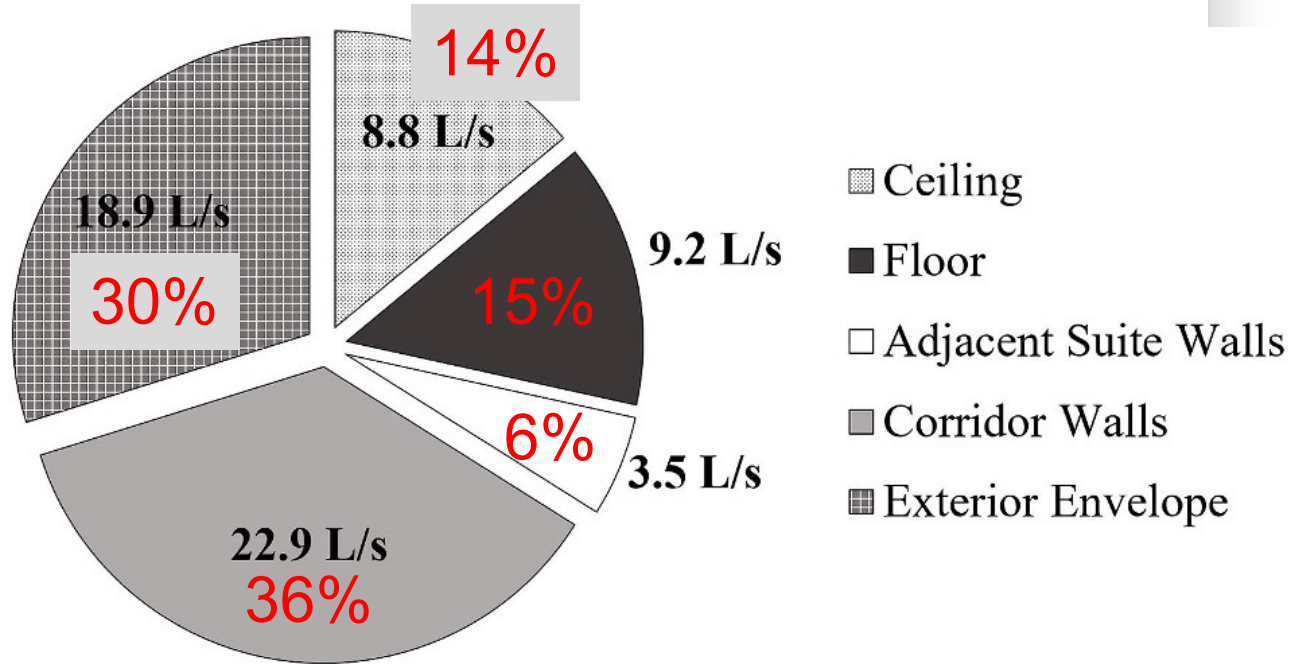
Highly variable between buildings and between units (in some buildings and not in others)....



Leakage Distribution – all 6 sides

Most leaks to
outside or corridor

Not much leakage
to adjacent suites
on the same floor

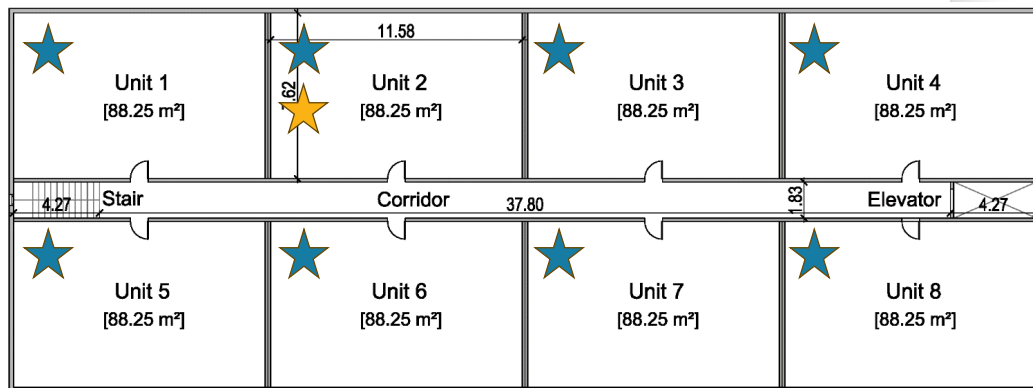


Cfm ~ L/s X 2

Indoor Contaminants

- Carbon Dioxide from NIST
- Formaldehyde
- PM_{2.5}
- Moisture
- Emission rates from field studies
- Contaminant Types

- ★ Global contaminants (ALL dwelling units)
- ★ Shadow contaminants (Unit 2 on Levels 1, 11, 20)



Results



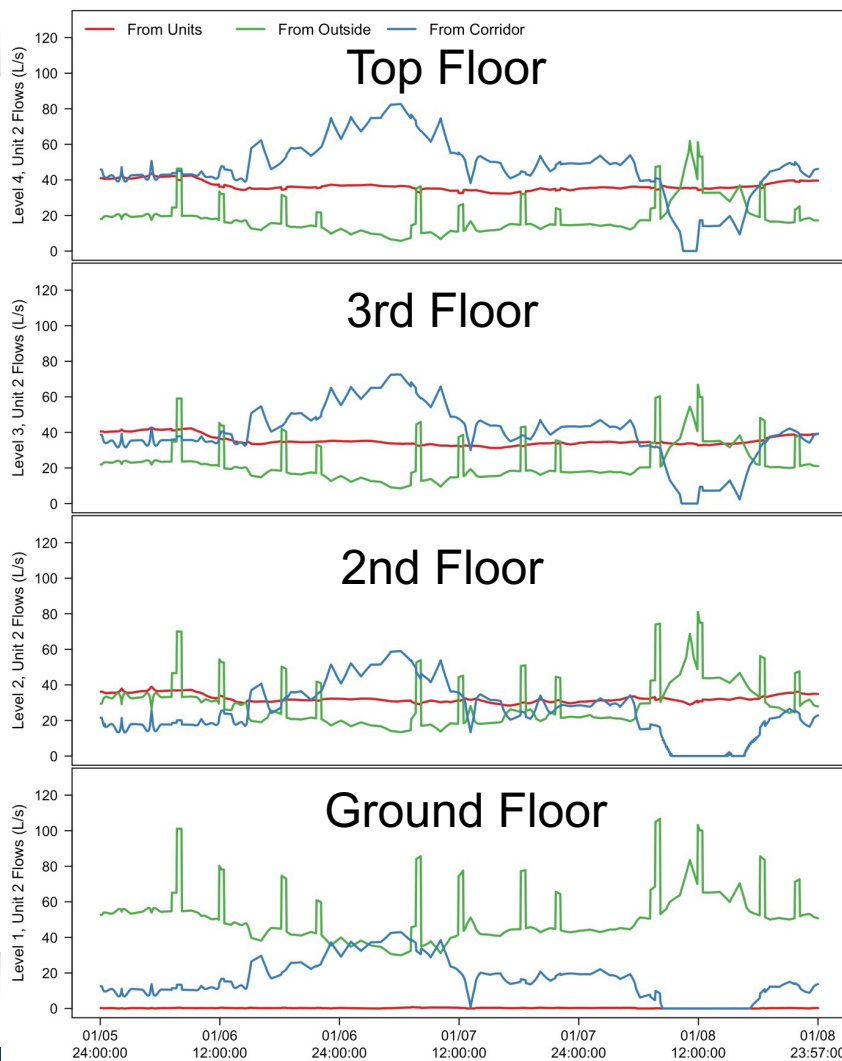
Example Air Flows for a Leaky Building

Unit Exhaust, Corridor Supply ventilation
Three days in January in CZ 7

At this “typical” poor leakage level – flows not controlled by mechanical ventilation system

Significant flow from other units(except ground floor)

Flow from outside depends on weather – less on mechanical ventilation system



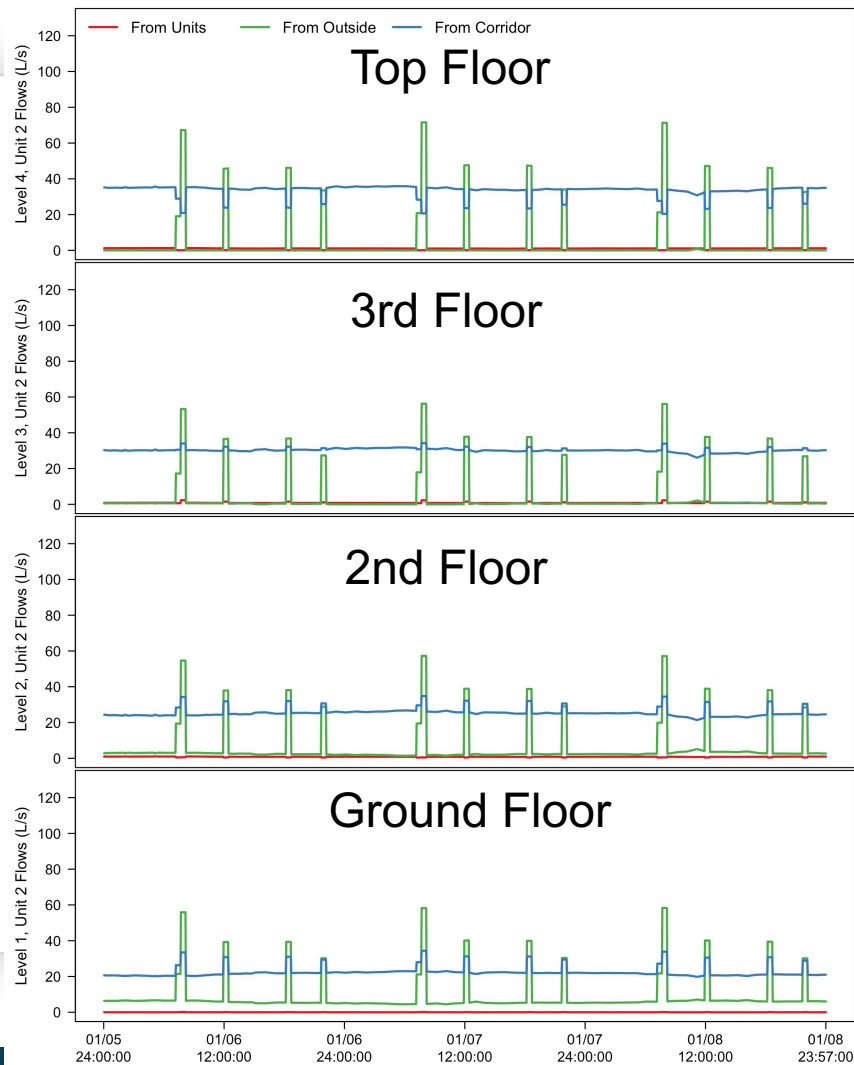
Example Air Flows for a Tight Building

Unit Exhaust, Corridor Supply ventilation
Three days in January in CZ 7

At this “tight” leakage level – flows are controlled
by mechanical ventilation system

No flow from other units

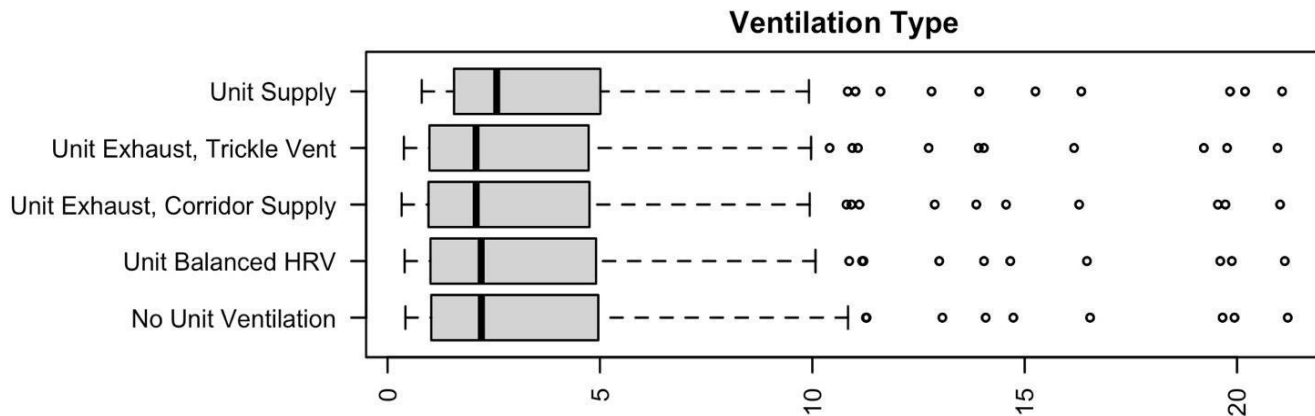
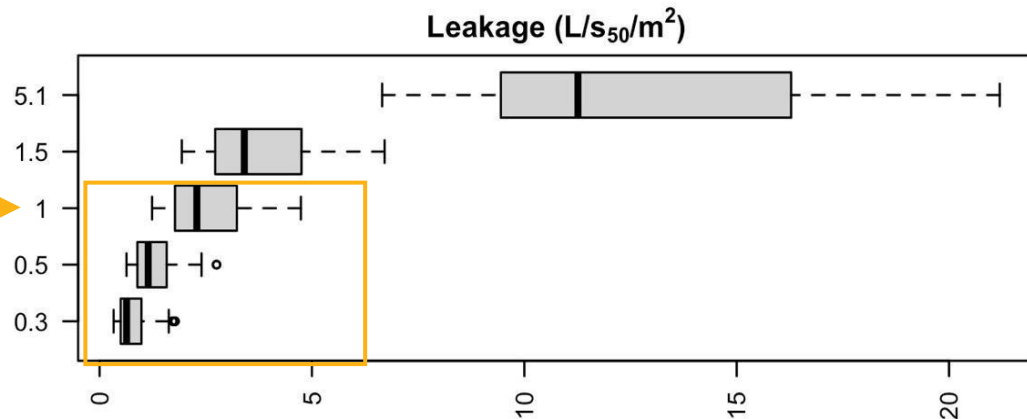
No weather dependence



Inter-Unit Air Flow Summary

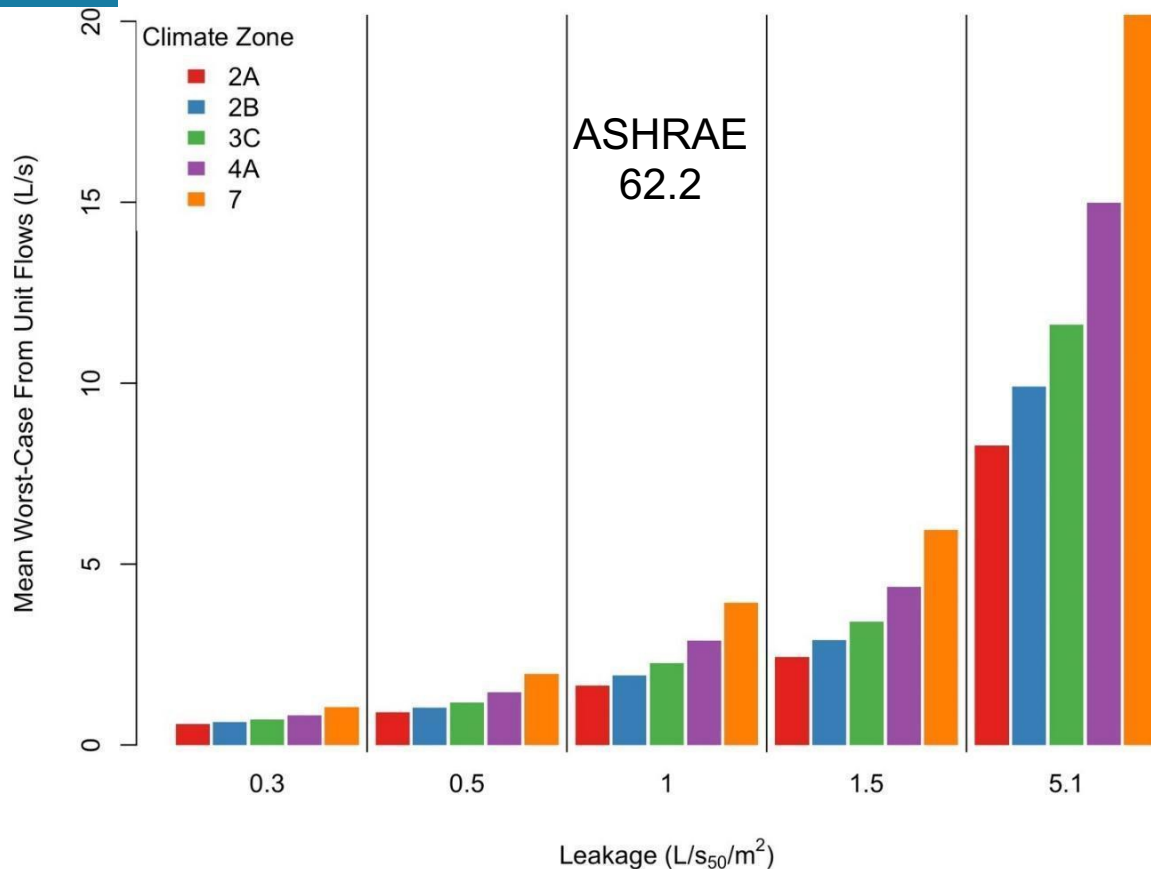
1. Diminishing returns for air leakage rates below current ASHRAE 62.2 compartmentalization requirement

2. No significant difference between ventilation system types



Inter-unit air flow: Climate

Tight buildings have very small climate effects



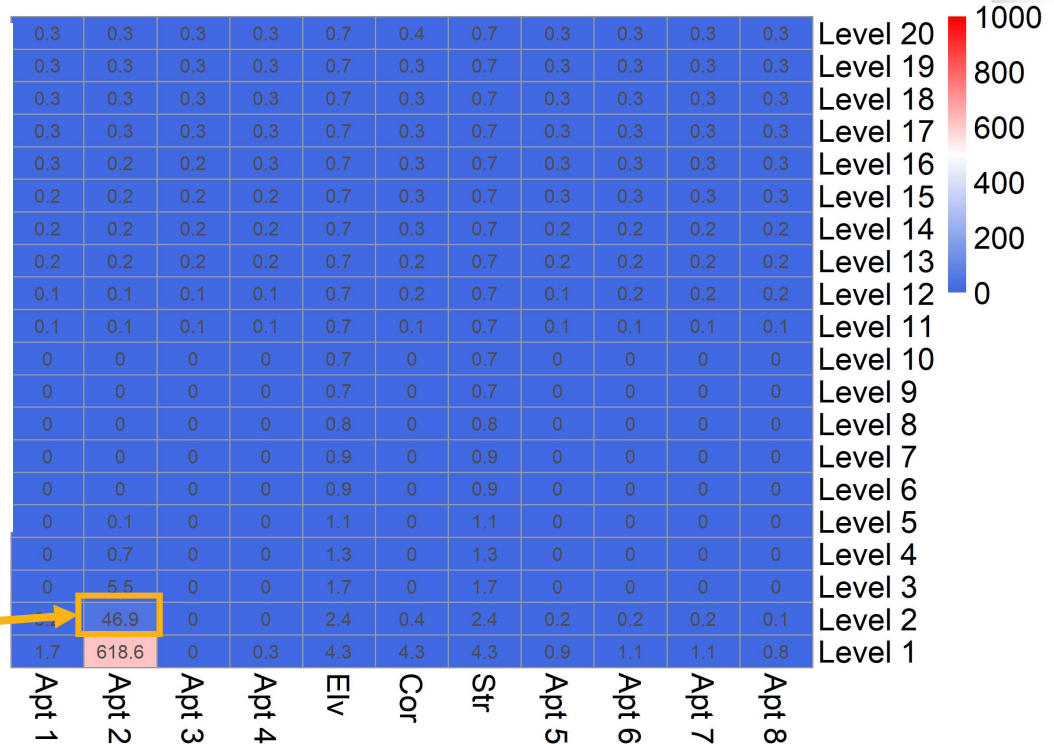
Contaminant Transport (shadow contaminants)

Annual Average Zone CO₂ Concentration (ppm) from Source Unit

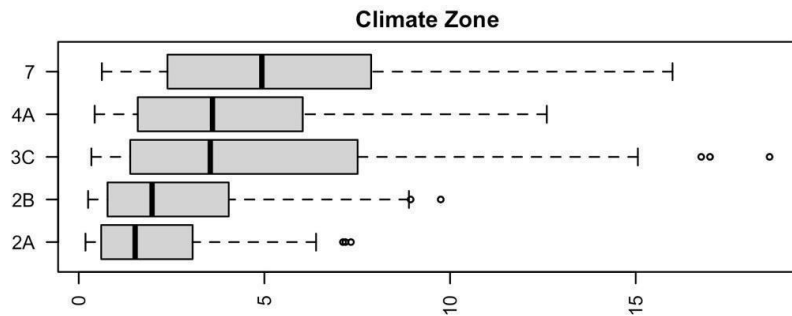
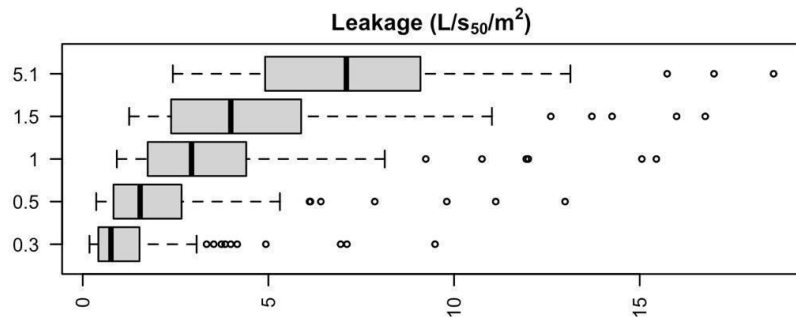
Very little transport for tight construction for CO₂, formaldehyde and even less for PM

Highest Non-Source Unit <10%

Most units – no measurable difference

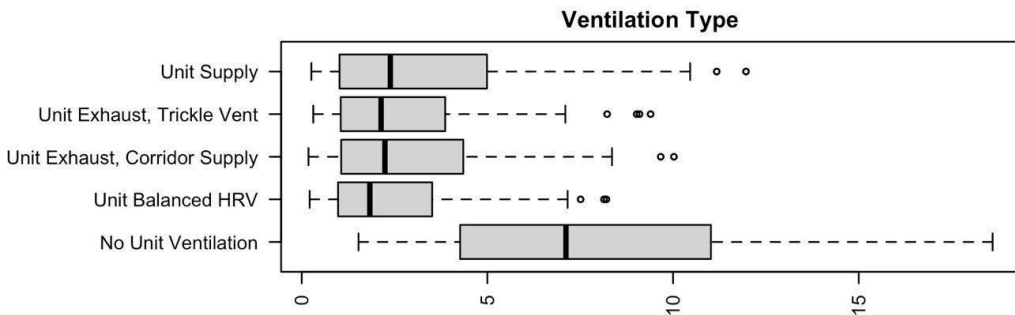


Highest fraction of CO₂ from other units (%)



Tight construction = very low transfer

Colder climates = more transfer

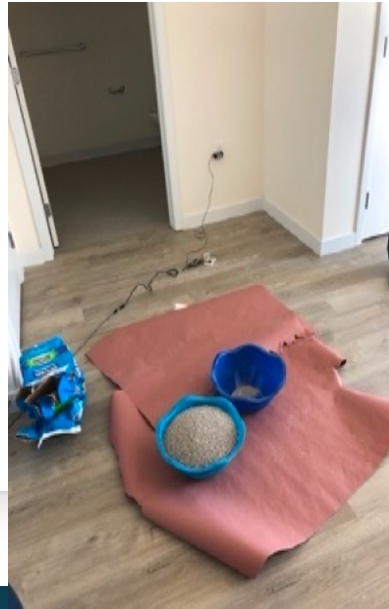


**Doesn't matter HOW you ventilate,
so long as you ventilate!**

Max Non-Source Zone CO₂ Fraction (%)

UCDavis Field Study

- Field Measurements in California buildings – leakage about 0.15 cfm50/ft² (0.75 L/s/m²)
- No measurable PM transfer
- Very little CO₂ transfer unless kitchen exhaust operating [1-3% of CO₂ from source unit]



Conclusions

1. Is the current compartmentalization requirement in ASHRAE 62.2 adequate for controlling cross-contamination in multi-family buildings? **YES**

- **Maximum** From-Unit Flows typically 1-2 cfm (did not exceed 10 cfm) for current 62.2 compartmentalization target – **typical** flows even smaller
- No measurable PM transfer
- Increasing compartmentalization provided diminishing returns
- Limiting wind and stack flows allows mechanical ventilation to operate as designed

2. Are different ventilation system types more or less sensitive to compartmentalization?

- No significant differences between ventilation systems for reasonable (EPA EnergyStar or ASHRAE 62.2) tightness

“Build Tight, Ventilate Right”

Acknowledgments

Project Team (LBNL)

- Iain Walker
- Brennan Less
- David Lorenzetti
- Nuria Casquero-Modrego
- Michael Sohn

This work was supported by the Assistant Secretary for Energy Efficiency and Renewable Energy, Building Technologies Office, of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231.

References

- Jo, J.-H., Lim, J.-H., Song, S.-Y., Yeo, M.-S., & Kim, K.-W. (2007). Characteristics of pressure distribution and solution to the problems caused by stack effect in high-rise residential buildings. *Building and Environment*, 42(1), 263–277. <https://doi.org/10.1016/j.buildenv.2005.07.002>
- Peter Moffatt, Ian Theaker, & Craig Wray. (1998). *Field Testing to Characterize Suite Ventilation in Recently Constructed Mid- and High Rise Residential Buildings* [Research Report]. Canada Mortgage and Housing Corporation. https://publications.gc.ca/collections/collection_2011/schl-cmhc/nh18-1/NH18-1-177-1998-eng.pdf
- Ricketts, L. (2014). *A Field Study of Airflow in a High-Rise Multi-Unit Residential Building* [M.A.Sc. Thesis]. University of Waterloo.
- Singer, B. C., Delp, W. R., Black, W. W., & Walker, I. S. (2016). Measurement-based evaluation of ventilation and filtration systems for reducing outdoor PM_{2.5} in a modern California detached house. *Indoor Air 2016: The 14th International Conference on Indoor Air Quality and Climate*, 9.
- Tian, X., Fine, J., & Touchie, M. (2020). The Impact of Sweeps and Weather Stripping on Suite Door Air Tightness. *ASHRAE Transactions*, 126(1).
- Yoon, S., Song, D., Kim, J., & Lim, H. (2019). Stack-driven infiltration and heating load differences by floor in high-rise residential buildings. *Building and Environment*, 157, 366–379. <https://doi.org/10.1016/j.buildenv.2019.05.006>

References

- Bohac, D. L., Sweeney, L., Davis, R., Olson, C., & Nelson, G. (2020). *Energy Code Field Studies: Low-Rise Multifamily Air Leakage Testing*. US DOE. https://www.mncee.org/sites/default/files/report-files/LRMF_AirLeakageTesting_FinalReport_2020-07-06.pdf
- Ricketts, L and Straube, J, 2014. A field study of Airflow in Mid to High-Rise Multi-Unit Residential Buildings. 14th Canadian Conference on Building Science and Technology, Toronto, ON. <http://rdh.com/wp-content/uploads/2015/01/CCBST-2014-A-Field-Study-of-Airflow-in-High-Rise-Multi-Unit-Residential-Buildings-LR-JS.pdf>
- Bohac, D., Hewett, M. and Grimsrud, D. 2007. Measured Change in Multifamily Unit Air Leakage and Air Flow Due to Air Sealing and Ventilation Treatments. Proc Buildings X.
- Hannas and Bohac: RESNET Conference presentation, 2018
- Lozinsky, C. and Touchie, M. 2020. Inter-zonal airflow in multi-unit residential buildings: A review of the magnitude of interaction of driving forces, measurement techniques and magnitudes, and its impact on building performance. *Indoor Air*. 2020;001:1-26. DOI: 10.1111/ina.12712
- Chan, W.R., Kim, Y-S., Less, B.D., Singer, B.C. and Walker, I.S. 2020. Ventilation and Indoor Air Quality in New California Homes with Gas Appliances and Mechanical Ventilation. LBNL 2001200. DOI: 10.20357/B7QC7X
- NISTIR-7212 (2005). "Modeling the IAQ Impact of HH1 Interventions in Inner-City Housing" by SJ Emmerich, C Howard-Read, and A Gupte. National Institute of Standards and Technology, Gaithersburg, MD
- Walker, I., Less, B., Lozinsky, C., Lorenzetti, D., Casquero-Modrego, N., Sohn, M. (2024). Compartmentalization and Ventilation System Impacts on Air and Contaminant Transport for Multifamily Buildings. *International Journal of Ventilation*. DOI: 10.1080/14733315.2024.2333669
- Zhao, H., Walker, I., Sohn, M. and Less, B. (2022). A Time-Varying Model for Predicting Formaldehyde Emission Rates in Homes. *Int. J. Environ. Res. Public Health* 2022, 19, 6603. <https://doi.org/10.3390/ijerph19116603>.
- Modera, M., Adler, S., Harrington, C., Bennet, D., Moran, R. and Goebes, M. 2023. Improving Air Quality, Energy Efficiency, and Greenhouse Gas Reductions Through Multifamily Unit Compartmentalization. California Air Resources Board.

Contaminants – CO₂ & PM_{2.5}

CO₂

From NIST (8 hours sleeping 16 hours awake)

- Adult: 10 mg/sec (awake); 6.5 mg/sec (asleep)
- Child: 6.5 mg/sec (awake); 4 mg/sec (asleep)

PM_{2.5}

Cooking source measured in homes (Chan et al. 2020)

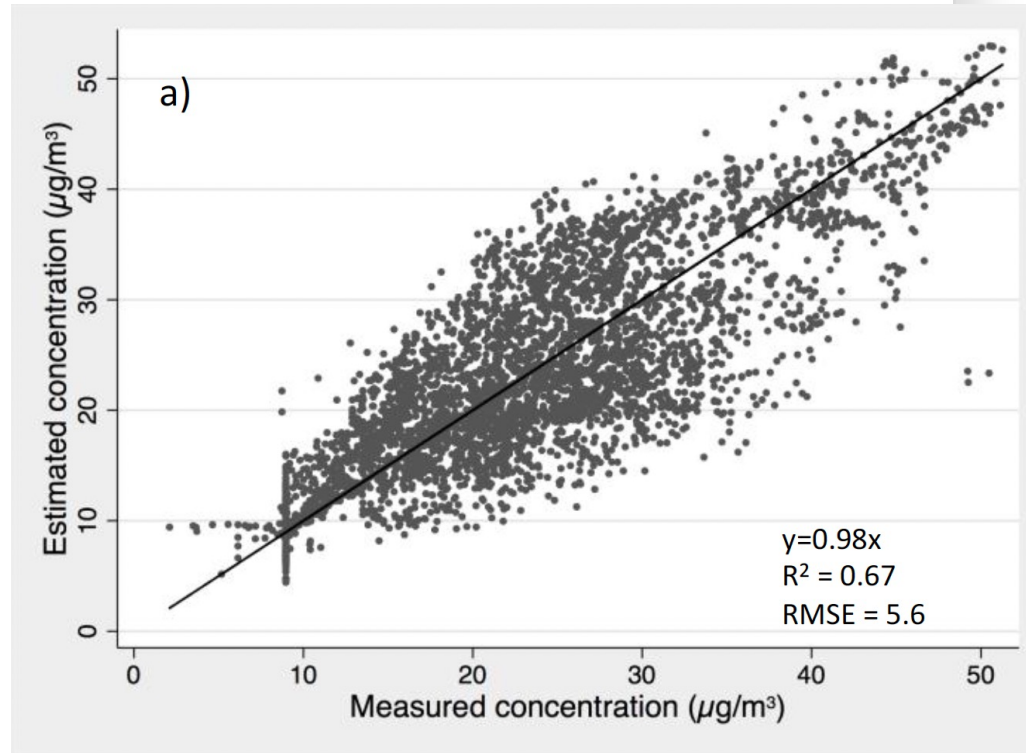
- PM_{2.5} cooking: 0.0208 mg/sec (assumes a 50% CE range hood), otherwise 0.0416 mg/sec)
- PM_{2.5} other sources: 0.00007 mg/sec.

Outdoors from EPA – tracked as a separate contaminant

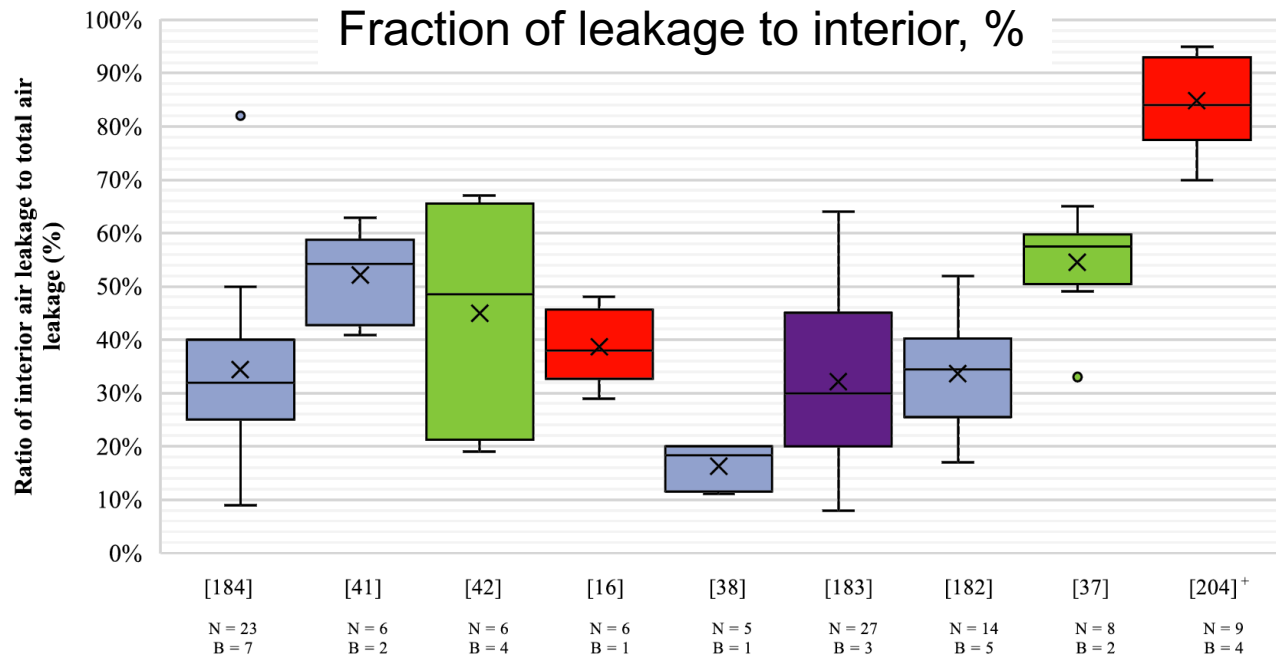
Included removal mechanisms for interunit transport and through exterior walls based on measured data in homes (an insulated wall is about MERV 11/13 – Singer et al. 2016)

Contaminants: Formaldehyde

- From measured formaldehyde in 70 test homes (Zhao et al. 2022).
- Complex function of temperature, humidity and ventilation rate



Does construction type matter?... Not consistently



⁺ Interior air leakage includes suite entrance door air leakage.

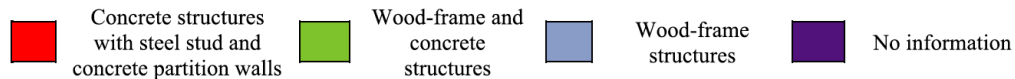


FIGURE 3 Contribution of interior air leakage paths to total suite air leakage from previous studies

Indoor Contaminant Example PM from cooking

Tightening
increases in-unit
concentration but
decreases PM
from other units

